

BEST PRACTICES FOR EQUITY RESEARCH ANALYSTS

ESSENTIALS FOR
BUY-SIDE
AND SELL-SIDE
ANALYSTS



Ensuring Every Stock Call Has Value

Avoiding Valuation and Stock-Picking Pitfalls

Getting Others to Act on Stock Recommendations

JAMES J. VALENTINE, CFA

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James Valentine



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Best Practices for Equity Research Analysts James J. Valentine, 2010-12 Conveniently organized for use as a learning tool and everyday reference on the job this authoritative book offers promising equity research analysts a practical curriculum for mastering their profession *Equity Research for the Technology Investor* Sundeep Bajikar, 2016-03-22 This book describes an equity research approach which combines principles of value investing with domain specific expertise in the technology industry The book also provides an overview of the financial services industry and the different types of conflicts of interest that drive market participants toward objectives that may not be aligned with those of the individual investor The book describes an equity research process that I have followed throughout my career as a financial analyst and shows that equity research is difficult not just for the technical skills involved but also due to behavioral issues that one has to deal with quite frequently when it comes to investing Equity Research takes a lot of work much more than an individual investor is likely to be able to commit given that her main profession is probably something other than investing A central message of the book is for the individual investor to find an independent investment manager who she can trust to pursue an investment strategy that is aligned with her goals *Managing Equity Portfolios* Michael A.

Ervolini, 2023-05-09 A groundbreaking framework for improving portfolio performance that goes beyond traditional analytics offering new ways to understand investment skills process and behaviors Portfolio management is a tough business Each day managers face the challenges of an ever changing and unforgiving market where strategies and processes that worked yesterday may not work today or tomorrow The usual advice for improving portfolio performance refining your strategy staying within your style doing better research trading more efficiently is important but doesn't seem to affect outcomes sufficiently This book by an experienced advisor to institutional money managers goes beyond conventional thinking to offer a new analytic framework that enables investors to improve their performance confidently deliberately and simply by applying the principles of behavioral finance W Edwards Deming observed that you can't improve what you don't measure Active portfolio management lacks methods for measuring key inputs to management success like skills process and behavioral tendencies Michael Ervolini offers a conceptually straightforward and well tested framework that does just that with evidence of how it helps managers enhance self awareness and become better investors In a series of short accessible chapters Ervolini investigates a range of topics from psychology and neuroscience describing their relevance to the challenges of portfolio management Finally Ervolini offers seven ideas for improving These range from maintaining an

investment diary to performing rudimentary calculations that quantify basic skills each idea or project helps managers gain a deeper understanding of their strengths and shortcomings and how to use this knowledge to improve investment performance

Writing Securities Research Jeremy Bolland, 2011-09-07 There is a strong need for this book by Jeremy Bolland which dissects all issues relating to securities research The requirement for better research does not only apply to the US securities market but to securities markets all over the world This book is relevant in any setting It will equip analysts with many useful tools to help them achieve success Mark Mobius President Templeton Emerging Markets Fund Jeremy Bolland's book is essential reading for all people involved in writing securities research Moreover it is not just a technical and legal guide but also a timely reminder of the principles of good writing Andrew Leeming Author of The Super Analysts Knowing your ratios and spreadsheets is no longer enough to become an investment analyst As Jeremy Bolland points out you need to know your do's and don'ts of how to approach clients and companies This guide to doing it properly is an obvious selection for the bookshelf of anyone who aspires to offer investment advice and a fine reference for anyone who receives such advice Jake van der Kamp Financial Columnist South China Morning Post At last here's a comprehensive yet easy to read guide explaining all the best practice principles involved in writing securities research It is full of useful information If all securities analysts followed the guidance that has been clearly laid out in this book by Jeremy Bolland research enforcement regulators around the world would be out of a job Anyone who is involved in writing and publishing research or using research for investment decisions from the novice to the expert should read this book I highly recommend it Anthony Espina Chairman Hong Kong Stockbrokers Association

Best-Practice EVA Bennett Stewart, 2013-02-19 Best Practice EVA tells the new EVA story from the ground up Stewart covers EVA essentials the classic economic profit version of EVA in the first three chapters of the book He shows readers how simple and intuitive EVA really is how it is defined and why it is better than all other measures of corporate profit You discover how it naturally guides managers into making all the right decisions the ones that will truly maximize value You see how to use it in profit sharing bonus plans that create the powerful incentives of an owner Later Stewart introduces new ratios that make EVA much more powerful and much easier to use than ever before The pinnacle of the new ratio framework is EVA Momentum calculated by taking the change in EVA versus the prior period and dividing by the revenues in the prior period It measures the growth rate in EVA scaled to the sales size of the business It is the only corporate performance ratio where bigger always is better because it gets bigger when EVA does which means it should be every company's most important financial goal the one ratio metric that everyone aims to maximize as the key measure of corporate success Stewart then walks through the nuts and bolts of Best Practice EVA kicking off with an in depth look at EVA Margin or EVA as a percent of sales It's a key productivity metric and Stewart's candidate to replace ROI The last link in the Best Practice program is PRV it the EVA market score report Stewart shows how to read and interpret the report how the score is determined and why investors are turning to it to screen and rate stocks He also shows why it is

finding a home with CFOs and IR directors who want insights into how the market is pricing their stock The book concludes with battle tested tips from the firing line practical suggestions for how you can test drive and adopt Best Practice EVA at your company

The Private Equity Toolkit Tamara Sakovska, 2022-06-08 Master the navigation of private equity deals from sourcing to exit with this comprehensive guide The Private Equity Toolkit A Step by Step Guide to Getting Deals Done from Sourcing to Exit offers readers the first complete guide to executing a private equity deal from start to finish Written by an accomplished professional with twenty years of experience in the private equity space this book is perfect for current private equity analysts and associates as well as business students and professionals seeking to enter the private equity field This book covers every stage of the private equity process from sourcing the deal to company exit It provides a systematic overview of how to Originate attractive investment opportunities Generate superior deal insights Form effective working relationships with management teams Add value on portfolio company boards and Achieve profitable investment exits The Private Equity Toolkit equips its readers with actionable frameworks and proprietary tools that can be applied on a daily basis in the private equity industry The content found within is designed to be current and helpful for years to come and appeals to a global audience

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perspective *Equity Valuation and Portfolio Management* Frank J. Fabozzi, Harry M. Markowitz, 2011-09-20 A detailed look at equity valuation and portfolio management Equity valuation is a method of valuing stock prices using fundamental analysis to determine the worth of the business and discover investment opportunities In *Equity Valuation and Portfolio Management* Frank J Fabozzi and Harry M Markowitz explain the process of equity valuation provide the necessary mathematical background and discuss classic and new portfolio strategies for investment managers Divided into two comprehensive parts this reliable resource focuses on valuation and portfolio strategies related to equities Discusses both fundamental and new techniques for valuation and strategies Fabozzi and Markowitz are experts in the fields of investment management and economics Includes end of chapter bullet point summaries key chapter take aways and study questions Filled with in depth insights and practical advice *Equity Valuation and Portfolio Management* will put you in a better position to excel at this challenging endeavor

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